

106.D. Futures Industry Transfer

- CME IMM IOM GEM
 FULL w/ ERP Full w/o ERP ASSOCIATE
 IDEM COM GIM ERP

INDIVIDUALLY OWNED MEMBERSHIP INTEREST

The undersigned transferor and transferee have read and understand the provisions of CME or CBOT Rule 106, as applicable, regarding transfers in general, and regarding leasing a membership, in particular, and agree to abide by the provisions contained in that rule. Please note that pursuant to CME or CBOT Rule 106.D.6, the transferred membership may be sold in accordance with CME or CBOT Rule 133, as applicable, and the proceeds may be applied as provided in Rule 110, to satisfy financial obligations of the transferee to the relevant Exchange, to another member of the relevant Exchange or to a clearing member of the relevant Exchange, arising out of the transferee's use of the membership in the event that such financial obligations have not been otherwise satisfied. However, the transferred membership shall at all times remain the sole and exclusive property of the transferor, and the transferor shall be entitled to receive all dividends and distributions in respect of the transferred membership.

TRANSFEROR (Owner of Record):

Name (please print) _____

Signature _____ Date _____

TRANSFEEE:

Name (please print) _____

Signature _____ Date _____

FIRM OWNED MEMBERSHIP INTEREST

TRANSFEROR (Employee and/or Authorized Signatory):

Name (Please Print)

OWNER OF RECORD:

Firm Name (Please Print)

Authorized Signature

TRANSFEEE:

Name (Please Print)

Signature

Date

Rule 106.D. Trading Authorization
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**INDIVIDUALLY OWNED MEMBERSHIP INTEREST
TO BE COMPLETED AND SIGNED BY OWNER**

Pursuant to CME or CBOT Rule 106.D.7., as applicable, I _____

(Transferor)

hereby grant authorization to _____

(Transferee)

to clear transactions on the books of _____

(Clearing Firm)

Transferor's signature _____

Date _____

Pursuant to CME or CBOT Rule 106.D.7., as applicable, the transferor must approve any change in the transferee's clearing firm in order for the transferee to remain qualified to trade. The authorization will transfer to any successor of the clearing firm.

FIRM OWNED MEMBERSHIP INTEREST

TO BE COMPLETED AND SIGNED BY AUTHORIZED SIGNATORY OF FIRM

Pursuant to CME or CBOT Rule 106.D.7., as applicable, _____

(Firm)

hereby grant authorization to _____

(Transferee)

to clear transactions on the books of _____

(Clearing Firm)

Firm

Authorized Signature

Title

Date