



Individual Membership Application and Checklist

Dear New Applicant,

Thank you for your interest in membership in Chicago Mercantile Exchange Inc. ("CME"). Once the Shareholder Relations and Membership Services Department ("Department") has received your complete and accurate application, they will begin to process it. Your application is considered complete once the Department has received all the items listed below. In order to expedite the processing of your application, please be sure that you have done the following:

- Typewritten, signed and notarized original version of your application.
- Answered EVERY question on the application. You may answer "N/A" (not applicable) where appropriate.
- Provided a typewritten explanation for any questions answered "yes" and any supporting documentation. *
- Provided a complete business history from the past 5 years. If necessary, you may attach an additional page.
- Provided the most recent account statements for all bank and brokerage accounts listed. *
- Provided documentation to verify the value of any real estate owned. *
- Included a \$1750 application fee (checks should be made payable to Chicago Mercantile Exchange Inc.).
- Provided two 2" X 2" passport quality color photographs.
- Provided the original or a certified copy of your birth certificate or a valid passport.
- If you will be leasing a membership, provide a letter explaining how you intend to finance your trading, if from a source other than your own personal funds.
- If you intend to only trade electronically, you may submit an Electronic Access Form which will (once you've procured a membership) allow you to obtain reduced clearing fees while your application is being reviewed.

You can expect the entire membership application process to take approximately 2-4 weeks to complete. Approximately one week after the Department has received your complete application and application fee; you will be contacted by telephone with details regarding your

scheduled attendance at a one week New Member Orientation program which is required of all new members who want access to the trading floor and to schedule an interview to review your application and prepare your final documents for consideration by the Director of the Department and/or the Membership Committee ("Committee").

It is mandatory that all applicants accessing the trading floor register with the NFA as either a floor broker or floor trader. An NFA application may be obtained either at www.nfa.futures.org or at the NFA Office located at 200 W. Madison Street, 14th Floor. You will not be permitted to access the CME trading floor until you have obtained registration as either a floor broker or a floor trader or until you have obtained a temporary license to act as such from the NFA. If you have specific questions regarding your NFA application, you may contact the NFA directly at (312) 781-1410.

If you have any questions in regard to the membership application process, please feel free to call the Department at (312) 930-3480 or email us at membership@cme.com.

*If documentation is not provided, the processing of your application may be delayed.